

OFFICE OF THE ILLINOIS LT. GOVERNOR, JULIANA STRATTON  
Restore, Reinvest, and Renew Program Board (R3PB)  
Regular Meeting Minutes  
October 22<sup>nd</sup>, 2020

<b>A present majority of filled R3PB member positions shall constitute a quorum: i.e. 16 members present</b>			
<b>Member</b>		<b>Attendance</b>	
<b>EX-OFFICIO</b>	<b>OFFICE</b>	<b>PHONE/VIDEO</b>	<b>ABSENT</b>
Lieutenant Governor Juliana Stratton	Office of the Lt. Governor	x	
Sharisse Kimbro (designated by Attorney General Kwame Raoul)	Office of the Attorney General	x	
Michael Negron (designated by Director Erin Guthrie)	IL Dept. of Commerce and Economic Opportunity	x	
Director Ngozi Ezike	IL Dept. of Public Health		x
Director Rob Jeffreys	IL Dept. of Corrections	x	
Director Heidi Mueller	IL Dept. of Juvenile Justice	x	
Dagene (day-sha-nay) Brown (designated by Director Marc Smith)	IL Dept. of Children and Family Services	x	
Acting Director Charise Williams	Illinois Criminal Justice Information Authority	x	
Director Kristin Richards	IL Dept. of Employment Security	x	
Secretary Grace Hou	IL Dept. of Human Services	x	
Senator Kimberly Lightford	Majority Senate		x
Representative Jehan Gordon-Booth	Majority House		x
Senator Jason Barickman	Minority Senate		x
Representative Lindsay Parkhurst	Minority House		x
<b>ELECTED OFFICIALS</b>		<b>PHONE/VIDEO</b>	<b>ABSENT</b>
Mayor of Kankakee, Chasity Wells-Armstrong		x	
Mayor of Rockford, Tom McNamara		x	
Mayor of Champaign, Deborah Frank Feinen		x	
Mayor of Aurora, Richard Irvin		x	
Mayor of Cahokia, Curtis McCall, Jr.			x
City Clerk of Chicago, Anna Valencia		x	
Aldersperson Susan Sadlowski Garza		x	
Aldersperson Michael Scott, Jr.		x	
<b>COMMUNITY PROVIDERS</b>		<b>PHONE/VIDEO</b>	<b>ABSENT</b>
Sylvia Puente			x
Jalon Arthur			x
Donna Crowder		x	
Michael Hubbard		x	

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EXPERTS IN VIOLENCE REDUCTION	PHONE/VIDEO	ABSENT
David Olson	x	
Kathryn Bocanegra	x	
FORMERLY JUSTICE INVOLVED — OVER 24 YEARS OF AGE	PHONE/VIDEO	ABSENT
Bethany Little	x	
Orlando Mayorga	x	
FORMERLY JUSTICE INVOLVED — 17-24 YEARS OF AGE	PHONE/VIDEO	ABSENT
Anthony Montoya	x	
Vacant		

**I. Call to Order and Roll Call**

- a. Lt. Governor Stratton called the meeting to order at 10:01AM
- b. Roll call taken and quorum established

**II. Approval of the Agenda for 10/22/20 and Approval of the Minutes from 08/26/20**

- a. Motion to Approve the Agenda for 10/22/20
  - i. Made by Mayor Tom McNamara
  - ii. Seconded by Mayor Chasity Wells-Armstrong
  - iii. All in Favor
  - iv. No Opposition
  - v. No Abstentions
- b. Motion to Approve the Minutes from 08/26/20
  - i. Made by Mayor Tom McNamara
  - ii. Seconded by Sharisse Kimbro
  - iii. All in Favor
  - iv. No Opposition
  - v. No Abstentions

**III. Chairperson's Remarks**

- a. Highlighted the justice reform work being done by the Illinois Legislative Black Caucus
- b. Welcomed Anthony Montoya, R3PB's newest board member
  - i. Anthony Montoya briefly introduced himself
- c. Reminded R3PB members to complete their statutorily required ethics and harassment-and-discrimination-prevention trainings if they have not already done so
- d. Reminded R3PB members that the work of the Board is subject to the Illinois Open Meetings Act
- e. Motion to allow R3PB members to vote on motions without taking roll call except where there is opposition or an abstention:
  - i. Made by Clerk Anna Valencia
  - ii. Seconded by Mayor Richard Irvin
  - iii. All in Favor
  - iv. No Opposition
  - v. No Abstentions

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**IV. New Business**

- a. R3 Program Funding Update
  - i. Andrew Krupin from the Governor's Office of Management and Budget presented on how funding is managed for the R3 Program (see Appendix I)
  - ii. Q&A:
    1. **Kathryn Bocanegra:** If funding goals are not met, what happens with the remaining funds? Is it possible to make this decision with the full scope of the grant applications in mind?
      - a. **Andrew Krupin:** To the first question, the transfer of funds to the R3 Program would still occur; we will then provide the funds to grantees in a later fiscal year. To the second question, I'm not sure what level of submissions we've received, but I think Acting Director Williams can answer this
      - b. **Acting Director Williams:** Typically, we get more requests for funding than funds are available; we believe this will be the case for R3 Program funds too. Also, grantees can request funding up to 36 months, so grantees can easily get renewed funding
    2. **David Olson:** Are the license fees annual, or do they cover a period of time?
      - a. **Andrew Krupin:** I believe it covers two years, and the fee is pro-rated for the length of time the application is submitted and select benchmark dates
    3. **David Olson:** Do the balances in the fund carry over from fiscal year to fiscal year? Also, do the appropriations account for only the new revenue coming in or also account for the rollover of available funds?
      - a. **Andrew Krupin:** I don't think we would set appropriations at a level where money that is in the funds cannot be spent
    4. **Kathryn Bocanegra:** I believe we are in a time of urgency in our state—between increased rates of violence and increased rates of infections with Coronavirus. Community-based organizations are on the front line of preventing both pandemics, and I believe at this time of urgency that the maximum level of resources should be deployed to support their work—especially in the eligible areas that the R3 Program is focused on
      - a. **Orlando Mayorga:** I agree. Our communities are in desperate need of resources
  - iii. Acting Director Williams from the Illinois Criminal Justice Information Authority presented a staffing update on ICJIA's support for managing the R3 Program (see Appendix II)
- b. Evaluation Committee Update
  - i. David Olson from Loyola University Chicago and Kathryn Bocanegra from University of Illinois at Chicago presented an update on the work of the Evaluation Subcommittee, highlighting the importance of equity in the evaluation process (see Appendix III)
  - ii. Q&A:
    1. **Clerk Anna Valencia:** I don't have a question, but I am part of this Subcommittee and I just want to say thank you to everyone who

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participates here. Your work has been very thorough and innovative in how you think about organizational needs and what it means to be equitable in our work and throughout the evaluation process

- c. Grant Application Review Committee Update
  - i. Quinn Rallins from the Office of the Lieutenant Governor provided an update on the process and work of the Grant Application Review Subcommittee
  - ii. Mitchell Troup and Acting Director Williams provide an update on the tentative R3 Program funding deadline and shared the following link for direct board members to current job openings at the Illinois Criminal justice Information Authority:  
<https://www2.illinois.gov/sites/work/Pages/default.aspx>
- d. Bylaws Discussion
  - i. Dartsia Pitts from the Office of the Lieutenant Governor presented on the draft R3PB bylaws (see Appendix IV)
  - ii. Motion to accept the draft bylaws as final bylaws
    - 1. Made by Mayor Tom McNamara
    - 2. Seconded by Alderperson Michael Scott
    - 3. All in Favor
    - 4. No Opposition
    - 5. No Abstentions

**V. Member Updates**

- a. No member updates

**VI. Public Comments**

- a. No public comments

**VII. Adjournment**

- a. Motion to adjourn made at 11:33PM
  - i. Made by Mayor Tom McNamara
  - ii. Seconded by Mayor Chasity Wells-Armstrong
  - iii. All in Favor
  - iv. No Opposition
  - v. No Abstentions

# APPENDIX I



# PRESENTATION TO THE RESTORE, REINVEST, AND RENEW (R3) BOARD

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*Andy Krupin*

*Governor's Office of Management and Budget*

*October 22<sup>nd</sup>, 2020*



# Cannabis Revenue - Flow of Funds

## Taxes and Fees

- Multiple license fees, but the largest include a \$30,000/\$60,000 license fee for dispensaries (medical/non-medical), and a \$40,000 or \$100,000 license fee for craft growers and cultivators
- 7% tax imposed upon gross receipts from sale of cannabis from a cultivator or craft grower to a dispenser
- 10%/20%/25% tax imposed upon purchases of cannabis (depending on type of product and THC level)

## Fund 912

- Final payment is made by cultivators, craft growers, and retailers on or before the 20<sup>th</sup> of each month for the preceding calendar month; license fee is paid with the submission of an application
- Department of Revenue determines how much to hold in Cannabis Regulation Fund for administration (**at least** \$1 million)
- Total amount in the fund less administrative funding is the transferrable balance

## Fund 335

- First, a transfer is made into Fund 908 (Cannabis Expungement Fund) at 1/12<sup>th</sup> of Fund 908's total appropriations in a given fiscal year
- Of the remaining transferrable balance, 25% is transferred to the Criminal Justice Information Projects Fund (Fund 335) for purposes of the R3 Program
- Transfer usually occurs within the first five business days of the month



# Flow of Funds - Timeline

September's  
Sales

October's  
Tax Revenue

November's  
Transfer





# Revenue Projections

## FY20

- Projected R3 Revenue: \$8.1 million
- Actual R3 Revenue: \$9.9 million

## FY21

- Projected R3 Revenue: \$25.4 million
- R3 Revenue (through October): \$9.2 million
- Anticipated R3 Revenue (through November): \$11.2-12.2 million

## Total FY20 and FY21

- Projected R3 Revenue: \$33.5 million
- Actual R3 Revenue to Date: \$19.1 million
- Revised FY21 revenue projection will be completed in November



# R3 Appropriations

- Appropriations are the **maximum** allowable expenditures for a given purpose in a fiscal year; actual spending is contingent upon actual revenue materializing
- FY21 Enacted R3 Appropriation: \$45 million
  - Rounded up total of anticipated FY20 revenue AND FY21 revenue, with cushion, as forecast in February 2020
    - \$8.1 million (FY20) plus \$25.4 million (FY21) with a \$10 million cushion
  - GOMB noticed in May that the first three months of sales had exceeded expectations and did not want the appropriation to artificially limit expenditures
- Current Funding in the Criminal Justice Information Projects Fund for R3: \$19.1 million



# Funding Options

1. Making awards for FY21 based upon actual cash available for R3 in Fund 335 as of the awarding date, after accounting for necessary administrative expenditures
2. Making awards for FY21 based upon the full year revenue projection, after accounting for necessary administrative expenditures
  - A. Prorating those awards based upon the actual months left in the fiscal year at the time the award is made



# Questions?

Andy Krupin

Budget Manager

Governor's Office of Management and Budget

Phone: (312) 814-3423

Email: [Andrew.Krupin@Illinois.gov](mailto:Andrew.Krupin@Illinois.gov)

# APPENDIX II

# R3 Vacancies

October 2020

# Vacant Positions

- Technical Advisor I
- Technical Advisor II
- 3 Criminal Justice Specialist Trainees
- Accounting Supervisor
- Federal & State Grants Unit Director
- State Grantee Compliance Analyst
- Research Scientist (Violence and Prevention Program)

## Technical Advisor I (Attorney)

- Position needs to be established.
- ICJIA is creating the job description (104) for submission to the CMS Classifications Unit.
- Once approved, the steps to post the position will begin.

## Technical Advisor II (Attorney)

- Position is ready to post.
- Position will be posted 10/22/20 through 11/05/20



## Criminal Justice Specialists Trainees (Grant Monitors) | Three vacancies

- ICJIA is in the process of filling 2 vacancies
  - Pre-Qualification Review Forms submitted to CMS Grading.
  - Open Competitive Bids (200+) are being prepared for submission to CMS Grading.
- ICJIA is awaiting approval to establish and post a Spanish-speaking position to fill third vacancy.

## Accounting Supervisor

- Position needs to be established.
- ICJIA is creating the job description (104) for submission to the CMS Classifications Unit.
- Once approved, the steps to post the position will begin.

## Federal & State Grants Unit Director (Director of Grants Administration)

- Awaiting Governor's approval.

## State Grantee Compliance Analyst (Contractual)

- Interviews scheduled October 22, 2020.

## Research Scientist Violence Prevention Programs

- Position needs to be established.
- ICJIA is creating the job description (104) for submission to the CMS Classifications Unit.
- Once approved, the steps to post the position will begin.

# APPENDIX III

# R3 Evaluation Subcommittee Update

Kathryn Bocanegra, Ph.D. & David Olson, Ph.D.

Co-Chairs of the R3 Evaluation Subcommittee

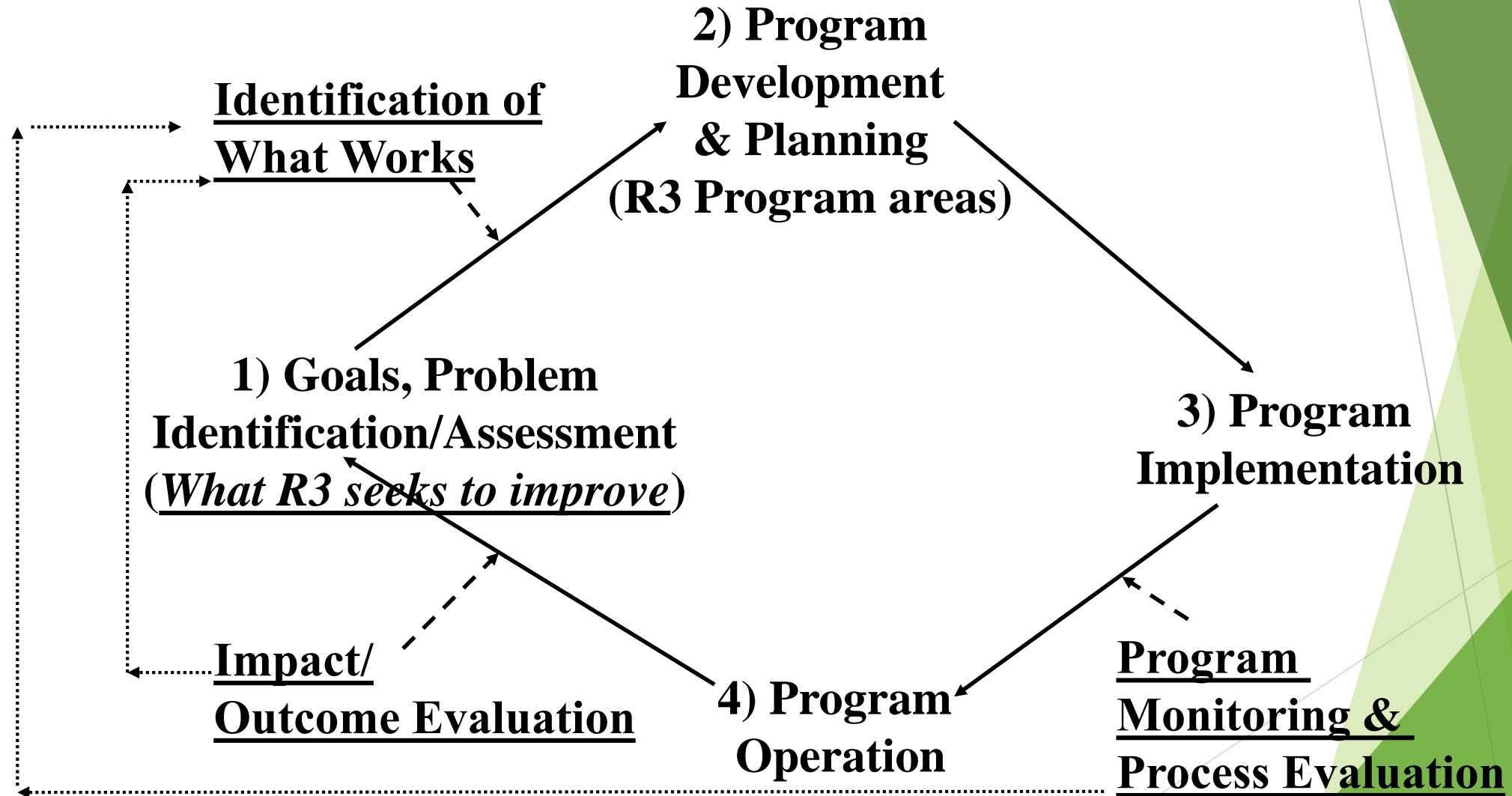
# Statutory Evaluation Requirements

- ▶ Conduct a “full evaluation” of the R3 program
- ▶ Develop a performance measurement system that focuses on positive outcomes
- ▶ Develop a process to support ongoing monitoring and evaluation of R3 programs
- ▶ Create an implementation plan with grantees, including data collection and technical assistance

# Evaluation Subcommittee

- ▶ Met three times in September and October to discuss best practices for evaluating a program of R3's scope
- ▶ Consisted of R3 board members and researchers from institutions across the state

# Evaluation Research in the Program Development & Implementation Process (in Broad Terms)





# Three Layers of Evaluation

- ▶ Grant monitoring and process evaluation
- ▶ Neighborhood-level analyses
- ▶ Impact analysis of a subset of programs

# Grant monitoring and process evaluation

- ▶ Grantee data collection
  - ▶ Sample outputs and outcomes
- ▶ Client-level data tracking
- ▶ Program monitoring infrastructure

# Neighborhood-level analyses

- ▶ What is a neighborhood-level analysis?
- ▶ Limiting factors
  - ▶ Outside events like the closure of a major neighborhood employer or the COVID-19 pandemic
  - ▶ Uneven distribution of programs throughout R3 areas
  - ▶ Uneven distribution of funded services throughout R3 areas
  - ▶ High levels of need
- ▶ Researcher convening to determine which geographies and variables are studied

# Impact Analysis of a Subset of Programs

- ▶ What is an impact evaluation/analysis?
- ▶ Program selection thresholds
  - ▶ Amount of funding being provided
  - ▶ Uniqueness of approach
  - ▶ Grantee capacity to generate the types of data needed for an impact evaluation
  - ▶ Grantee interest in participation
- ▶ Evaluation partners

# Implementation and Next Steps

- ▶ Usage of existing state administrative dollars
- ▶ Ongoing evaluation strategy updates between Board members and ICJIA staff

Questions?

# APPENDIX IV

# R3PB Bylaws: Key Takeaways

**OFFICE OF THE ILLINOIS LIEUTENANT GOVERNOR**

Lieutenant Governor Juliana Stratton

October 22<sup>nd</sup>, 2020



# Key Takeaways: Part I

- **Resignations**

- Non-ex-officio members may resign at their discretion
- Ex-Officio members may not resign from R3PB while they hold a government position requiring their service. However, they may appoint designees in their place; in the case of elected officials, the leader of their chamber's party in the Illinois General Assembly may appoint a qualified person in their place

- **Officers**

- Per statute, R3PB has a chair and ethics officer
- A simple majority of R3PB members may vote to create additional officer roles as allowed by laws and rules governing R3PB, and as needed for the work of the Board

- **Meetings**

- All board meetings are subject to the Open Meetings Act, meaning the meeting is accessible to the public except in the case of closed meetings

# Key Takeaways: Part II

- **Meetings (cont.)**

- The R3PB chair may call special and emergency meetings, otherwise R3PB members may call a special or emergency meeting by submitting a written request to the chair that is signed by 10 or more members

- **Voting/Participation**

- No member may represent the voting voice of another member

- **Committees**

- The Board may create any and all committees necessary to conduct its work in good faith
- Board members may participate on any and all committees of their choosing, and shall require no prior approval for this
- Board members may step down from serving on any and all committees of their choosing and shall require no approval to do so, though they must provide written notice to the R3PB chair

# Key Takeaways: Part III

- **Committees (cont.)**

- Limitations on public participation on R3PB extends to the Board's committees

- **Conflicts of Interest**

- R3PB members should refrain from any and all activity that presents a conflict of interest
- When uncertain, board members should speak with the Board's ethics officer to determine the presence of a conflict of interest

- **Record Keeping**

- R3PB will follow all laws and rules governing its record keeping practices, including but not limited to the Illinois Open Meetings Act

- **Modifications**

- R3PB may modify its bylaws by an affirmative vote of at least a two-thirds majority
- Any modifications are void in the case they conflict with controlling laws and other mandates controlling the work of the Board